



Star
Institute

NURTURING TODAY'S **YOUNG PEOPLE,**
INSPIRING TOMORROW'S **LEADERS**

MISCONDUCT POLICY: ACADEMIC

Star Teachers



Document control

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Introduction

1. This policy applies to academic misconduct and covers procedures for those assessments issued and accredited by the SCITT in relation to the award of QTS. Where trainees have an academic issue with the PGCert provider, that organisation's procedures for academic malpractice will be applied. It is the trainee's responsibility to be familiar with both the SCITTs and HEIs procedures for academic misconduct. All such regulations are available on the Star Institute and University websites.
2. For the purpose of this policy, academic misconduct is defined as any attempt by a trainee to gain an unfair advantage in assessment. This may include:
 - fabricating information for an assessment towards the award of QTS;
 - falsification of data to misrepresent results;
 - impersonating another trainee, or procuring an impersonator;
 - the deliberate and detailed presentation of another person's concept as one's own;
 - resubmitting one's own work or documents in entirety (or substantial sections) which has previously been submitted for another programme.
3. The SCITT values a culture of academic integrity, which underpins the Star Teacher Framework. The assessment of trainees is based on the principle that, unless stated in the assessment criteria, the work and documentation is their own work.
4. Where suspected malpractice has been discovered at a late stage in the assessment process and has not been resolved at the time of the Awards Board meeting, the assessors will not consider the trainee's grades until the academic misconduct investigation has been conducted and the matter resolved.

Aims

5. To provide a fair and respectful academic misconduct procedure which is clear and easy to use.
6. To publicise the existence of our academic misconduct procedure so that people know how the process operates.
7. To ensure all academic misconduct cases are investigated fairly, confidentially and promptly.
8. To ensure that academic misconduct cases are, wherever possible, resolved and that relationships are repaired.
9. To gather information which helps us to improve what we do.
10. To support the mission, vision and values of the Trust and its establishments.

Who is responsible for this policy?

11. The Trust has overall responsibility for the effective operation of this policy and for ensuring compliance with the relevant statutory or SCITT framework. The Trust has delegated day-to-day responsibility for operating the policy to the Head of ITT and Teacher Development and its Quality Assurance and Management Board (QAM).
12. The QAM Board and Senior Leadership Team at the SCITT has a specific responsibility to ensure the fair application of this policy and all members of staff are responsible for supporting colleagues and ensuring its success.
13. For the purposes of this policy 'Trainee' also includes 'Apprentice'.



Stage One – Informal resolution

14. The Trust expects that before seeking to use formal procedures, the case:
 - will have been raised with the trainee; and
 - reasonable attempts to seek an informal resolution will have been made.
15. Where a minor problem arises at source, or where minor academic malpractice is identified, this should be dealt with at source with explanation and mediation being the key forms of resolution.

Stage Two – Formal procedure

16. Only when the informal stages have been exhausted and the tutor or mentor remains convinced that s/he has firm grounds for academic malpractice, should the formal academic misconduct procedure be initiated. The final decision on whether to proceed with an Academic Misconduct Panel rests with the Head of ITT and Teacher Development and the QAM Board.
17. The Chair of the QAM Board shall have a discretion, which will be exercised reasonably, to consider whether the matter can be resolved before an Academic Misconduct Panel hearing.
18. An investigation of the academic misconduct will be carried out by the nominated senior member of staff who will report to the Head of ITT and Teacher Development.
19. The Head of ITT and Teacher Development will discuss the matter with the trainee/apprentice(s). Whenever reasonably possible, such discussion will take place within 5 working days of the academic malpractice being identified.
20. The Head of ITT and Teacher Development will then put their findings in writing and indicate what steps, if any, should be taken in order to resolve the matter. Whenever reasonably possible, this will be done within 5 working days of the discussion with the trainee(s).
21. A copy of the Academic Misconduct Form and the written response will be submitted to the QAM Board by the Head of ITT and Teacher Development.

Stage Three – Academic Misconduct Panel

22. The Academic Misconduct Panel of the SCITT will comprise of two members of the QAM Board who have not previously been involved in the case, and one person independent of the management and running of the SCITT. The selection of the independent member will be supported by the Governance Team of the Trust's Central Team. The candidate will be permitted to bring a representative/witness. The SCITT will do this within 10 working days.
23. A trainee who has been informed that their case is to be considered by Academic Misconduct Panel must, by the deadline stated, submit all the evidence referred to in the initial notification and a response to any queries raised by the QAM Board.
24. The Academic Misconduct Panel shall consider only that work specifically identified and forwarded by the Head of ITT and Teacher Development. It is not the business of the Panel to seek to unearth wider evidence of malpractice by the same trainee(s).
25. Should multiple incidents of malpractice arise simultaneously for the same trainee, the panel will investigate all incidents at the same time (as is realistic).



26. At the end of that 10-day period the SCITT Business Support Coordinator will convene a hearing of the Academic Misconduct Panel. That hearing will be held as quickly as practicable given the need to find a date that is reasonably convenient for the trainee, the SCITT and the members of the Panel. Whenever possible, the hearing will be held within 10 working days of the end of the SCITT's response time.
27. All parties will be provided with a minimum of 5 working days' notice of the date of the Academic Misconduct Panel.
28. The Panel will formulate its response as quickly as reasonably possible, aiming to do so within 10 working days, and the SCITT Business Support Coordinator will notify all concerned.
29. The Panel findings and recommendations will be:
 - sent in writing to the trainee within the 'Completion of Procedure' letter (template at Appendix 2);
 - available for inspection on the SCITT's premises.
30. A written record will be kept of all academic misconduct cases by the SCITT.
31. Correspondence, statements and records relating to individual academic appeals will be kept confidential except where the Secretary of State or a body conducting an inspection under section 162A of the Education Act 2002 requests access to them or where the Trust is otherwise required by law to disclose them.
32. The decision of the SCITT's Academic Misconduct Panel is final, although the trainee would have recourse to the Office of the Independent Adjudicator.

Accountability

33. The Head of ITT and Teacher Development holds delegated responsibility for discharging the sound application of all SCITT policies. Staff and trainees have a responsibility to be aware of the policy and procedures contained herein, to understand the seriousness of academic malpractice and to take every reasonable step to ensure that academic misconduct does not occur.
34. The Head of ITT and Teacher Development should inform the Trust Central Team and their QAM Board of all matters relating to serious breaches of this policy including any major incident to be addressed under this policy promptly, preferably prior to action being taken insofar as is reasonably practicable.



Appendix 1: Academic Misconduct Form

Please complete in BLOCK CAPITALS and return to the Head ITT and Teacher Development who will acknowledge receipt and explain what action will be taken.

Tutor/ Mentor name	
Role/ Position	
Trainee Name	
SCITT Programme	
Please give details of your grounds for suspecting academic misconduct:	
What action, if any, have you already taken to try and resolve your academic issue? Include details of any previous advice or warnings given to the trainee.	
What actions do you feel might resolve the problem at this stage?	
Are you attaching any paperwork or evidence? If so please give details.	
Signature:	
Date:	

For official use only	
Date acknowledgement sent	
Acknowledgement sent by	
Academic Misconduct referred to	
Academic Misconduct referred on (date)	



Appendix 2: Template Completion of Procedures Letter

<* Please add required detail/ deletion options as appropriate. Do NOT delete any non* parts within the letter as it is a statutory template. Seek guidance from the Governance Team of the Central Office if required>

Dear <*Name of complainant>

Completion of Procedures Letter

This letter confirms that the internal procedures of this establishment in relation to your Academic Misconduct Panel regarding <*please describe> have been completed.

The issues that were raised at your Academic Misconduct Panel were <*please summarise>.

The issues that were considered in relation to the academic misconduct were: <*brief summary of the complaint >.

The final decision of the SCITT is <*detail> because <*reasons>.

The <*procedure/ policy / regulation> applied were: <*details >.

Under the Higher Education Act 2004, the Establishment subscribes to the independent scheme for the review of academic appeals. If you are dissatisfied with the outcome, you may be able to apply for a review of your academic misconduct outcome to the Office of the Independent Adjudicator for Higher Education (OIA) providing that the appeal you take to the OIA is eligible under its Rules.

Should you decide to make an appeal to the OIA, your OIA Academic Appeal must be received by the OIA within three months of the date of this letter, that is, it must be received by the OIA on or before <insert date - e.g. if the Completion of Procedures Letter is dated 7 January, this date should be 7 April>.

The OIA's leaflet, 'An Introduction to the OIA for Students', can be downloaded from http://www.oiahe.org.uk/media/34396/oia_intro_leaflet.pdf. Alternatively, you can telephone or write to the OIA for a form. **You should send a copy of this letter to the OIA with your OIA Form.**

Please note that the OIA will normally only review issues that have been dealt with through the Establishment's internal procedures.

Yours sincerely

<Authorised signatory>